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Asymmetric truncated Toeplitz operators equal to the zero operator

ABSTRACT. Asymmetric truncated Toeplitz operators are compressions of multiplication operators acting between two model spaces. These operators are natural generalizations of truncated Toeplitz operators. In this paper we describe symbols of asymmetric truncated Toeplitz operators equal to the zero operator.

1. Introduction. Let H^2 denote the Hardy space of the unit disk $\mathbb{D} = \{z: |z| < 1\}$, that is, the space of functions analytic in \mathbb{D} with square summable Maclaurin coefficients.

Using the boundary values, one can identify H^2 with a closed subspace of $L^2(\partial\mathbb{D})$, the subspace of functions whose Fourier coefficients with negative indices vanish. The orthogonal projection P from $L^2(\partial\mathbb{D})$ onto H^2 , called the Szegő projection, is given by

$$Pf(z) = \frac{1}{2\pi} \int_0^{2\pi} \frac{f(e^{it})dt}{1 - e^{-it}z}, \quad f \in L^2(\partial\mathbb{D}).$$

Note that if $f \in L^1(\partial\mathbb{D})$, then the above integral still defines a function Pf analytic in \mathbb{D} .

The classical Toeplitz operator T_φ with symbol $\varphi \in L^2(\partial\mathbb{D})$ is defined on H^2 by

$$T_\varphi f = P(\varphi f).$$

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It is known that T_φ is bounded if and only if $\varphi \in L^\infty(\partial\mathbb{D})$. The operator $S = T_z$ is called the unilateral shift and its adjoint $S^* = T_{\bar{z}}$ is called the backward shift. We have $Sf(z) = zf(z)$ and

$$S^*f(z) = \frac{f(z) - f(0)}{z}.$$

Let H^∞ be the algebra of bounded analytic functions on \mathbb{D} and let $\alpha \in H^\infty$ be an arbitrary inner function, that is, $|\alpha| = 1$ a.e. on $\partial\mathbb{D}$.

By the theorem of A. Beurling (see, for example, [7, Thm. 8.1.1]), every nontrivial, closed S -invariant subspace of H^2 can be expressed as αH^2 for some inner function α . Consequently, every nontrivial, closed S^* -invariant subspace of H^2 is of the form

$$K_\alpha = H^2 \ominus \alpha H^2$$

with α inner. The space K_α is called the model space corresponding to α .

The kernel function

$$(1.1) \quad k_w^\alpha(z) = \frac{1 - \overline{\alpha(w)}\alpha(z)}{1 - \bar{w}z}, \quad w, z \in \mathbb{D},$$

is a reproducing kernel for the model space K_α , i.e., for each $f \in K_\alpha$ and $w \in \mathbb{D}$,

$$f(w) = \langle f, k_w^\alpha \rangle$$

($\langle \cdot, \cdot \rangle$ being the usual integral inner product). Observe that k_w^α is a bounded function for every $w \in \mathbb{D}$. It follows that the subspace $K_\alpha^\infty = K_\alpha \cap H^\infty$ is dense in K_α . If $\alpha(w) = 0$, then $k_w^\alpha = k_w$, where k_w is the Szegő kernel given by $k_w(z) = (1 - \bar{w}z)^{-1}$.

Let P_α denote the orthogonal projection from $L^2(\partial\mathbb{D})$ onto K_α . Then

$$P_\alpha f(z) = \langle f, k_z^\alpha \rangle, \quad f \in L^2(\partial\mathbb{D}), \quad z \in \mathbb{D}.$$

Just like with the Szegő projection, $P_\alpha f$ is a function analytic in \mathbb{D} for all $f \in L^1(\partial\mathbb{D})$.

A truncated Toeplitz operator with a symbol $\varphi \in L^2(\partial\mathbb{D})$ is the operator A_φ^α defined on the model space K_α by

$$A_\varphi^\alpha f = P_\alpha(\varphi f).$$

Densely defined on bounded functions, the operator A_φ^α can be seen as a compression to K_α of the classical Toeplitz operator T_φ on H^2 .

The study of truncated Toeplitz operators as a class began in 2007 with D. Sarason's paper [13]. In spite of similar definitions, there are many differences between truncated Toeplitz operators and the classical ones. One of the first results from [13] states that, unlike in the classical case, a truncated Toeplitz operator is not uniquely determined by its symbol. More precisely, $A_\varphi^\alpha = 0$ if and only if $\varphi \in \overline{\alpha H^2} + \alpha H^2$ ([13, Thm. 3.1]). As a consequence, unbounded symbols can produce bounded truncated Toeplitz operators. Moreover, there exist bounded truncated Toeplitz operators for

which no bounded symbols exist (see [3]). For more interesting results see [6, 9, 10, 11, 12].

Recently, the authors in [4] and [5] introduced a generalization of truncated Toeplitz operators, the so-called asymmetric truncated Toeplitz operators. Let α, β be two inner functions and let $\varphi \in L^2(\partial\mathbb{D})$. An asymmetric truncated Toeplitz operator $A_\varphi^{\alpha,\beta}$ is the operator from K_α into K_β given by

$$A_\varphi^{\alpha,\beta} f = P_\beta(\varphi f), \quad f \in K_\alpha.$$

The operator $A_\varphi^{\alpha,\beta}$ is densely defined. Clearly, $A_\varphi^{\alpha,\alpha} = A_\varphi^\alpha$.

We denote

$$\mathcal{T}(\alpha, \beta) = \{A_\varphi^{\alpha,\beta} : \varphi \in L^2(\partial\mathbb{D}) \text{ and } A_\varphi^{\alpha,\beta} \text{ is bounded}\}$$

and $\mathcal{T}(\alpha) = \mathcal{T}(\alpha, \alpha)$.

The purpose of this paper is to describe when an operator from $\mathcal{T}(\alpha, \beta)$ is equal to the zero operator. The description is given in terms of the symbol of the operator. This was done in [4] and [5] for the case when β divides α , that is, when α/β is an inner function. It was proved in [4] and [5] that $A_\varphi^{\alpha,\beta} = 0$ if and only if $\varphi \in \overline{\alpha H^2} + \beta H^2$. Here we show that this is true for all inner functions α and β . We also give some examples of rank-one asymmetric truncated Toeplitz operators.

2. Main result. In this section we prove the following.

Theorem 2.1. *Let α, β be two nonconstant inner functions and let $A_\varphi^{\alpha,\beta} : K_\alpha \rightarrow K_\beta$ be a bounded asymmetric truncated Toeplitz operator with $\varphi \in L^2(\partial\mathbb{D})$. Then $A_\varphi^{\alpha,\beta} = 0$ if and only if $\varphi \in \overline{\alpha H^2} + \beta H^2$.*

We start with a simple technical lemma.

Lemma 2.2. *Let α, β be two arbitrary inner functions. If*

$$(2.1) \quad K_\alpha \subset \beta H^2,$$

then both α and β have no zeros in \mathbb{D} , or at least one of the functions α or β is a constant function.

Proof. Assume that (2.1) holds. If $\beta(z_0) = 0$ for some $z_0 \in \mathbb{D}$, then $f(z_0) = 0$ for every $f \in K_\alpha$. For $f = k_{z_0}^\alpha$ we get

$$k_{z_0}^\alpha(z_0) = \|k_{z_0}^\alpha\|^2 = \frac{1 - |\alpha(z_0)|^2}{1 - |z_0|^2} = 0,$$

which implies that $|\alpha(z_0)| = 1$. By the maximum modulus principle, α is a constant function. Hence, the inclusion (2.1) implies that β has no zeros in \mathbb{D} , or α is a constant function. But (2.1) is equivalent to

$$K_\beta \subset \alpha H^2,$$

and, by the same reasoning, (2.1) also implies that α has no zeros in \mathbb{D} , or β is a constant function. This completes the proof. \square

Lemma 2.2 can be rephrased as follows. If α, β are two nonconstant inner functions and at least one of them has a zero in \mathbb{D} , then the inclusion $K_\alpha \subset \beta H^2$ does not hold. This allows us to prove the following version of Theorem 2.1.

Proposition 2.3. *Let α, β be two nonconstant inner functions such that each of them has a zero in \mathbb{D} and let $A_\varphi^{\alpha,\beta} : K_\alpha \rightarrow K_\beta$ be a bounded asymmetric truncated Toeplitz operator with $\varphi \in L^2(\partial\mathbb{D})$. Then $A_\varphi^{\alpha,\beta} = 0$ if and only if $\varphi \in \overline{\alpha H^2} + \beta H^2$.*

Proof. The fact that $\varphi \in \overline{\alpha H^2} + \beta H^2$ implies $A_\varphi^{\alpha,\beta} = 0$ was proved in [4, Thm. 4.3]. For the convenience of the reader we repeat the reasoning from [4].

Assume that $\varphi = \overline{\alpha h_1} + \beta h_2$ with $h_1, h_2 \in H^2$. Then, for every $f \in K_\alpha^\infty$,

$$A_\varphi^{\alpha,\beta} f = P_\beta(\overline{\alpha h_1} f + \beta h_2 f) = P_\beta(\overline{\alpha h_1} f).$$

Since $f \perp \alpha H^2$, we see that $\overline{\alpha h_1} f \perp H^2$ and $P_\beta(\overline{\alpha h_1} f) = 0$. The density of K_α^∞ implies that $A_\varphi^{\alpha,\beta} = 0$. Note that this part of the proof does not depend on the existence of zeros of α and β .

Let us now assume that $A_\varphi^{\alpha,\beta} = 0$. By the first part of the proof, we can also assume that $\varphi = \overline{\chi} + \psi$ for some $\chi \in K_\alpha$, $\psi \in K_\beta$. Let $z_0 \in \mathbb{D}$ be a zero of α . Then $k_{z_0}^\alpha = k_{z_0}$ and

$$\begin{aligned} A_{\overline{\chi}}^{\alpha,\beta} k_{z_0}^\alpha &= P_\beta(\overline{\chi} k_{z_0}) \\ &= P_\beta \left(\frac{\overline{z} \chi(z) - \overline{\chi(z_0)}}{\overline{z} - \overline{z_0}} + \overline{\chi(z_0)} k_{z_0} \right) \\ &= \overline{\chi(z_0)} k_{z_0}^\beta, \end{aligned}$$

because the quotient $(\chi(z) - \chi(z_0))/(z - z_0)$ belongs to K_α (see [13, Subsection 2.6]).

Hence,

$$\begin{aligned} 0 &= A_\varphi^{\alpha,\beta} k_{z_0}^\alpha = A_{\overline{\chi} + \psi}^{\alpha,\beta} k_{z_0}^\alpha \\ &= \overline{\chi(z_0)} k_{z_0}^\beta + A_\psi^{\alpha,\beta} k_{z_0}^\alpha = P_\beta \left[(\overline{\chi(z_0)} + \psi) k_{z_0} \right], \end{aligned}$$

which means that

$$(\overline{\chi(z_0)} + \psi) k_{z_0} \in \beta H^2$$

and, consequently,

$$(2.2) \quad \overline{\chi(z_0)} + \psi \in \beta H^2.$$

On the other hand ([4, Lem. 3.2]),

$$A_{\overline{\psi} + \chi}^{\beta,\alpha} = \left(A_{\overline{\chi} + \psi}^{\alpha,\beta} \right)^* = 0,$$

and a similar reasoning can be used to show that if $\beta(w_0) = 0$, $w_0 \in \mathbb{D}$, then

$$(2.3) \quad \chi + \overline{\psi(w_0)} \in \alpha H^2.$$

By (2.2), (2.3) and the first part of the proof we get

$$A_{\overline{\chi + \psi(w_0) + \overline{\chi(z_0)} + \psi}}^{\alpha, \beta} = 0,$$

and

$$A_{\overline{\psi(w_0) + \chi(z_0)}}^{\alpha, \beta} = -A_{\overline{\chi + \psi}}^{\alpha, \beta} = 0.$$

From this,

$$P_\beta \left[(\psi(w_0) + \overline{\chi(z_0)}) f \right] = 0$$

for all $f \in K_\alpha$.

If $\psi(w_0) + \overline{\chi(z_0)} \neq 0$, then the above equality means that $P_\beta(f) = 0$ for all $f \in K_\alpha$, that is, $K_\alpha \subset \beta H^2$. However, by Lemma 2.2, this cannot be the case here. So

$$\psi(w_0) + \overline{\chi(z_0)} = 0$$

and

$$\varphi = \overline{\chi} + \psi = \overline{\chi} + \psi(w_0) + \overline{\chi(z_0)} + \psi \in \alpha H^2 + \beta H^2. \quad \square$$

To give a proof of Theorem 2.1 we use the so-called Crofoot transform. For any inner function α and $w \in \mathbb{D}$, the Crofoot transform J_w^α is the multiplication operator defined by

$$(2.4) \quad J_w^\alpha f(z) = \frac{\sqrt{1 - |w|^2}}{1 - \overline{w}\alpha(z)} f(z).$$

The Crofoot transform J_w^α is a unitary operator from K_α onto K_{α_w} , where

$$(2.5) \quad \alpha_w(z) = \frac{w - \alpha(z)}{1 - \overline{w}\alpha(z)}.$$

(see, for example, [8, Thm. 10] and [13, pp. 521–523]). Moreover,

$$\begin{aligned} (J_w^\alpha)^* f &= (J_w^\alpha)^{-1} f = J_w^{\alpha_w} f \\ &= \frac{\sqrt{1 - |w|^2}}{1 - \overline{w}\alpha_w} f = \frac{1 - \overline{w}\alpha}{\sqrt{1 - |w|^2}} f. \end{aligned}$$

Lemma 2.4. *Let α be an inner function and $w \in \mathbb{D}$. For every $z \in \mathbb{D}$ we have*

$$(2.6) \quad k_z^{\alpha_w} = \frac{1 - |w|^2}{(1 - w\alpha(z))(1 - \overline{w}\alpha)} k_z^\alpha.$$

Proof. Fix $w, z \in \mathbb{D}$. The reproducing kernel $k_z^{\alpha_w}$ is given by

$$k_z^{\alpha_w}(\lambda) = \frac{1 - \overline{\alpha_w(z)}\alpha_w(\lambda)}{1 - \bar{z}\lambda}, \quad \lambda \in \mathbb{D}.$$

Since

$$\begin{aligned} 1 - \overline{\alpha_w(z)}\alpha_w(\lambda) &= 1 - \frac{\bar{w} - \overline{\alpha(z)}}{1 - w\overline{\alpha(z)}} \frac{w - \alpha(\lambda)}{1 - \bar{w}\alpha(\lambda)} \\ &= \frac{(1 - |w|^2)(1 - \overline{\alpha(z)}\alpha(\lambda))}{(1 - w\overline{\alpha(z)})(1 - \bar{w}\alpha(\lambda))}, \end{aligned}$$

we have

$$\begin{aligned} k_z^{\alpha_w}(\lambda) &= \frac{1 - |w|^2}{(1 - w\overline{\alpha(z)})(1 - \bar{w}\alpha(\lambda))} \frac{1 - \overline{\alpha(z)}\alpha(\lambda)}{1 - \bar{z}\lambda} \\ &= \frac{(1 - |w|^2)}{(1 - w\overline{\alpha(z)})(1 - \bar{w}\alpha(\lambda))} k_z^\alpha(\lambda). \quad \square \end{aligned}$$

It is known that the map

$$A \mapsto J_w^\alpha A (J_w^\alpha)^{-1}, \quad A \in \mathcal{T}(\alpha),$$

carries $\mathcal{T}(\alpha)$ onto $\mathcal{T}(\alpha_w)$ (see [6]). An analogous result can be proved for the asymmetric truncated Toeplitz operators.

Proposition 2.5. *Let α, β be two inner functions. Let $a, b \in \mathbb{D}$ and let the functions α_a, β_b and the operators $J_a^\alpha : K_\alpha \rightarrow K_{\alpha_a}, J_b^\beta : K_\beta \rightarrow K_{\beta_b}$ be defined as in (2.5) and (2.4), respectively. If A is a bounded linear operator from K_α into K_β , then A belongs to $\mathcal{T}(\alpha, \beta)$ if and only if $J_b^\beta A (J_a^\alpha)^{-1}$ belongs to $\mathcal{T}(\alpha_a, \beta_b)$. Moreover, if $A = A_\phi^{\alpha, \beta}$, then $J_b^\beta A (J_a^\alpha)^{-1} = A_\phi^{\alpha_a, \beta_b}$ with*

$$(2.7) \quad \phi = \frac{(1 - \bar{a}\alpha)(1 - b\bar{\beta})}{\sqrt{1 - |a|^2}\sqrt{1 - |b|^2}}\varphi.$$

Proof. Let A be a bounded linear operator from K_α into K_β . Assume first that A belongs to $\mathcal{T}(\alpha, \beta)$, $A = A_\phi^{\alpha, \beta}$ for $\phi \in L^2(\partial\mathbb{D})$. We show that $J_b^\beta A (J_a^\alpha)^{-1} = A_\phi^{\alpha_a, \beta_b}$ with ϕ as in (2.7).

For every $f \in K_{\alpha_a}^\infty$ and $z \in \mathbb{D}$ we have

$$\begin{aligned} J_b^\beta A_\phi^{\alpha, \beta} (J_a^\alpha)^{-1} f(z) &= \frac{\sqrt{1 - |b|^2}}{1 - \bar{b}\beta(z)} P_\beta \left(\frac{1 - \bar{a}\alpha}{\sqrt{1 - |a|^2}} \varphi f \right) (z) \\ &= \frac{\sqrt{1 - |b|^2}}{1 - \bar{b}\beta(z)} \left\langle \frac{1 - \bar{a}\alpha}{\sqrt{1 - |a|^2}} \varphi f, k_z^\beta \right\rangle. \end{aligned}$$

By (2.6),

$$\begin{aligned}
J_b^\beta A_\varphi^{\alpha,\beta} (J_a^\alpha)^{-1} f(z) &= \frac{\sqrt{1-|b|^2}}{1-\bar{b}\beta(z)} \left\langle \frac{1-\bar{a}\alpha}{\sqrt{1-|a|^2}} \varphi f, \frac{(1-b\overline{\beta(z)})(1-\bar{b}\beta)}{1-|b|^2} k_z^{\beta_b} \right\rangle \\
&= \left\langle \frac{1-b\bar{\beta}}{\sqrt{1-|b|^2}} \frac{1-\bar{a}\alpha}{\sqrt{1-|a|^2}} \varphi f, k_z^{\beta_b} \right\rangle \\
&= P_{\beta_b} \left(\frac{(1-b\bar{\beta})(1-\bar{a}\alpha)}{\sqrt{1-|b|^2}\sqrt{1-|a|^2}} \varphi f \right) (z) \\
&= A_\phi^{\alpha_a,\beta_b} f(z).
\end{aligned}$$

Thus $A \in \mathcal{T}(\alpha, \beta)$ implies that $J_b^\beta A (J_a^\alpha)^{-1} \in \mathcal{T}(\alpha_a, \beta_b)$.

To prove the other implication assume that $J_b^\beta A (J_a^\alpha)^{-1} = A_\phi^{\alpha_a,\beta_b} \in \mathcal{T}(\alpha_a, \beta_b)$ for some $\phi \in L^2(\partial\mathbb{D})$. Then

$$A = (J_b^\beta)^{-1} A_\phi^{\alpha_a,\beta_b} J_a^\alpha = J_b^{\beta_b} A_\phi^{\alpha_a,\beta_b} (J_a^{\alpha_a})^{-1}.$$

But $(\alpha_a)_a = \alpha$ and $(\beta_b)_b = \beta$, and, by the first part of the proof,

$$A = J_b^{\beta_b} A_\phi^{\alpha_a,\beta_b} (J_a^{\alpha_a})^{-1} = A_\varphi^{\alpha,\beta}$$

with

$$\varphi = \frac{(1-\bar{a}\alpha_a)(1-b\bar{\beta}_b)}{\sqrt{1-|a|^2}\sqrt{1-|b|^2}} \phi.$$

Hence, $A \in \mathcal{T}(\alpha, \beta)$. An easy computation shows that ϕ satisfies (2.7). \square

Proof of Theorem 2.1. The fact that $\varphi \in \overline{\alpha H^2} + \beta H^2$ implies $A_\varphi^{\alpha,\beta} = 0$ was established in the proof of Proposition 2.3. Assume now that $\varphi \in L^2(\partial\mathbb{D})$ and $A_\varphi^{\alpha,\beta} = 0$.

If $\alpha(0) = \beta(0) = 0$, then $\varphi \in \overline{\alpha H^2} + \beta H^2$ by Proposition 2.3. If $\alpha(0) \neq 0$ or $\beta(0) \neq 0$, put $a = \alpha(0)$, $b = \beta(0)$. By Proposition 2.5,

$$0 = J_b^\beta A_\varphi^{\alpha,\beta} (J_a^\alpha)^{-1} = A_\phi^{\alpha_a,\beta_b},$$

where

$$\phi = \frac{(1-\bar{a}\alpha)(1-b\bar{\beta})}{\sqrt{1-|a|^2}\sqrt{1-|b|^2}} \varphi.$$

Since $\alpha_a(0) = \beta_b(0) = 0$, by Proposition 2.3,

$$\phi \in \overline{\alpha_a H^2} + \beta_b H^2.$$

Therefore, there exist $h_1, h_2 \in H^2$ such that

$$\frac{(1-\bar{a}\alpha)(1-b\bar{\beta})}{\sqrt{1-|a|^2}\sqrt{1-|b|^2}} \varphi = \frac{\bar{a}-\bar{\alpha}}{1-a\bar{\alpha}} h_1 + \frac{b-\beta}{1-\bar{b}\beta} h_2,$$

and

$$\varphi = \frac{\bar{a} - \bar{\alpha}}{1 - \bar{a}\alpha} \frac{\sqrt{1 - |a|^2} \sqrt{1 - |b|^2}}{(1 - a\bar{\alpha})(1 - b\bar{\beta})} \bar{h}_1 + \frac{b - \beta}{1 - b\bar{\beta}} \frac{\sqrt{1 - |a|^2} \sqrt{1 - |b|^2}}{(1 - \bar{a}\alpha)(1 - \bar{b}\beta)} h_2.$$

Since $|\alpha| = 1$ and $|\beta| = 1$ on the unit circle $\partial\mathbb{D}$, we have

$$\frac{\bar{a} - \bar{\alpha}}{1 - \bar{a}\alpha} = -\bar{\alpha} \quad \text{and} \quad \frac{b - \beta}{1 - b\bar{\beta}} = -\beta.$$

Consequently,

$$\varphi = \overline{\alpha g_1} + \beta g_2$$

with

$$g_1 = -\frac{\sqrt{1 - |a|^2} \sqrt{1 - |b|^2}}{(1 - \bar{a}\alpha)(1 - \bar{b}\beta)} h_1, \quad g_2 = \frac{\sqrt{1 - |a|^2} \sqrt{1 - |b|^2}}{(1 - \bar{a}\alpha)(1 - \bar{b}\beta)} h_2.$$

Since $g_1, g_2 \in H^2$, the proof is complete. \square

Corollary 2.6. *If φ is in $L^2(\partial\mathbb{D})$, then there is a pair of functions $\chi \in K_\alpha$, $\psi \in K_\beta$, such that $A_\varphi^{\alpha,\beta} = A_{\bar{\chi}+\psi}^{\alpha,\beta}$. If χ, ψ is one such pair, then the most general such pair is of the form $\chi - \bar{c}k_0^\alpha$, $\psi + ck_0^\beta$, with c a scalar.*

Proof. The proof is analogous to the proofs given in [13] and [4].

The function $\varphi \in L^2(\partial\mathbb{D})$ can be written as $\varphi = \varphi_+ + \varphi_-$ with $\varphi_+, \bar{\varphi}_- \in H^2$. If $\chi = P_\alpha(\bar{\varphi}_-)$ and $\psi = P_\beta(\varphi_+)$, then $\varphi - \bar{\chi} - \psi \in \overline{\alpha H^2} + \beta H^2$. By Theorem 2.1, $A_\varphi^{\alpha,\beta} = A_{\bar{\chi}+\psi}^{\alpha,\beta}$.

Note that for $f \in K_\alpha$,

$$A_{k_0^\beta}^{\alpha,\beta} f = P_\beta \left(f - \overline{\beta(0)} \beta f \right) = P_\beta f = A_1^{\alpha,\beta} f.$$

Since $\bar{\alpha}f \perp H^2$ for $f \in K_\alpha$, we get

$$A_{\bar{k}_0^\alpha}^{\alpha,\beta} f = P_\beta (f - \alpha(0)\bar{\alpha}f) = P_\beta f = A_1^{\alpha,\beta} f.$$

Therefore, if $A_\varphi^{\alpha,\beta} = A_{\bar{\chi}+\psi}^{\alpha,\beta}$ with $\chi \in K_\alpha, \psi \in K_\beta$ as above and $\chi_1 = \chi - \bar{c}k_0^\alpha$, $\psi_1 = \psi + ck_0^\beta$ for some constant $c \in \mathbb{C}$, then

$$A_{\bar{\chi}_1+\psi_1}^{\alpha,\beta} = A_{\bar{\chi}}^{\alpha,\beta} - cA_1^{\alpha,\beta} + A_\psi^{\alpha,\beta} + cA_1^{\alpha,\beta} = A_\varphi^{\alpha,\beta}.$$

Moreover, if $A_\varphi^{\alpha,\beta} = A_{\bar{\chi}+\psi}^{\alpha,\beta} = A_{\bar{\chi}_1+\psi_1}^{\alpha,\beta}$ for any other $\chi_1 \in K_\alpha, \psi_1 \in K_\beta$, then, by Theorem 2.1, there exist $h_1, h_2 \in H^2$ such that

$$\bar{\chi} + \psi - \bar{\chi}_1 - \psi_1 = \overline{\alpha h_1} + \beta h_2.$$

Hence

$$\psi - \psi_1 = \beta h_2 + \overline{\alpha h_1 + \chi_1 - \chi}$$

and

$$\psi - \psi_1 = P_\beta(\psi - \psi_1) = P_\beta(\overline{\alpha h_1 + \chi_1 - \chi}) = c_1 P_\beta 1 = c_1 k_0^\beta$$

for some constant c_1 . Similarly,

$$\chi - \chi_1 = \alpha h_1 + \overline{\beta h_2 + \psi_1 - \psi}$$

and

$$\chi - \chi_1 = P_\alpha(\chi - \chi_1) = P_\alpha(\overline{\beta h_2 + \psi_1 - \psi}) = c_2 k_0^\alpha$$

for some constant c_2 .

From this,

$$\begin{aligned} 0 &= A_{\overline{\chi - \chi_1 + \psi - \psi_1}}^{\alpha, \beta} = \bar{c}_2 A_{\overline{k_0^\alpha}}^{\alpha, \beta} + c_1 A_{\overline{k_0^\beta}}^{\alpha, \beta} \\ &= (\bar{c}_2 + c_1) A_1^{\alpha, \beta} = (\bar{c}_2 + c_1) P_{\beta|K_\alpha}. \end{aligned}$$

By Lemma 2.2, $\bar{c}_2 + c_1 = 0$. Putting $c = -c_1 = \bar{c}_2$ we have $\psi_1 = \psi + ck_0^\beta$ and $\chi_1 = \chi - \bar{c}k_0^\alpha$. \square

3. Rank-one operators in $\mathcal{T}(\alpha, \beta)$. Recall that the model space K_α is equipped with a natural conjugation (antilinear, isometric involution) $C_\alpha: K_\alpha \rightarrow K_\alpha$, defined in terms of the boundary values by

$$C_\alpha f(z) = \alpha(z) \overline{zf(z)}, \quad |z| = 1$$

(see [13, Subsection 2.3], for more details). A short calculation shows that the conjugate kernel $\tilde{k}_w^\alpha = C_\alpha k_w^\alpha$ is given by

$$\tilde{k}_w^\alpha(z) = \frac{\alpha(z) - \alpha(w)}{z - w}.$$

The function α is said to have a nontangential limit at $\eta \in \partial\mathbb{D}$ if there exists $\alpha(\eta)$ such that $\alpha(z)$ tends to $\alpha(\eta)$ as $z \in \mathbb{D}$ tends to η nontangentially (with $|z - \eta| \leq C(1 - |z|)$ for some fixed $C > 0$). We say that α has an angular derivative in the sense of Carathéodory (an ADC) at $\eta \in \partial\mathbb{D}$ if both α and α' have nontangential limits at η and $|\alpha(\eta)| = 1$ (for more details see [9, pp. 33–37]). P. R. Ahern and D. N. Clark proved in [1, 2], that α has an ADC at $\eta \in \partial\mathbb{D}$ if and only if every $f \in K_\alpha$ has a nontangential limit $f(\eta)$ at η . If α has an ADC at η and w tends to η nontangentially, then the reproducing kernels k_w^α tend in norm to the function $k_\eta^\alpha \in K_\alpha$ given by (1.1) with η in place of w . Moreover, $f(\eta) = \langle f, k_\eta^\alpha \rangle$ for all $f \in K_\alpha$ and

$$\tilde{k}_\eta^\alpha(z) = \frac{\alpha(z) - \alpha(\eta)}{z - \eta} = \alpha(\eta) \bar{\eta} k_\eta^\alpha(z).$$

We can now give some examples of rank-one asymmetric truncated Toeplitz operators (compare with [13, Thm. 5.1]).

Proposition 3.1. *Let α, β be two nonconstant inner functions.*

(a) *For $w \in \mathbb{D}$, the operators $\tilde{k}_w^\beta \otimes k_w^\alpha$ and $k_w^\beta \otimes \tilde{k}_w^\alpha$ belong to $\mathcal{T}(\alpha, \beta)$,*

$$\tilde{k}_w^\beta \otimes k_w^\alpha = A_{\frac{\beta(z)}{z-w}}^{\alpha, \beta} \quad \text{and} \quad k_w^\beta \otimes \tilde{k}_w^\alpha = A_{\frac{\alpha(z)}{\bar{z}-\bar{w}}}^{\alpha, \beta}.$$

(b) If both α and β have an ADC at the point η of $\partial\mathbb{D}$, then the operator $k_\eta^\beta \otimes k_\eta^\alpha$ belongs to $\mathcal{T}(\alpha, \beta)$,

$$k_\eta^\beta \otimes k_\eta^\alpha = A_{k_\eta^\beta + \bar{k}_\eta^\alpha - 1}^{\alpha, \beta}.$$

Proof. (a) Let $w \in \mathbb{D}$ and $f \in K_\alpha$. Since $\frac{f(z)-f(w)}{z-w} \in K_\alpha$ ([13, Subsection 2.6]), we have (for $|z| = 1$)

$$\begin{aligned} A_{\frac{\beta(z)}{z-w}}^{\alpha, \beta} f &= P_\beta \left(\frac{\beta(z)}{z-w} f(z) \right) \\ &= P_\beta \left(\beta(z) \frac{f(z) - f(w)}{z-w} + f(w) \frac{\beta(z) - \beta(w)}{z-w} + f(w) \frac{\beta(w)}{z-w} \right) \\ &= f(w) P_\beta \left(\frac{\beta(z) - \beta(w)}{z-w} \right) + f(w) \beta(w) P_\beta \left(\frac{\bar{z}}{1-w\bar{z}} \right) \\ &= f(w) \tilde{k}_w^\beta = \langle f, k_w^\alpha \rangle \tilde{k}_w^\beta = \tilde{k}_w^\beta \otimes k_w^\alpha(f). \end{aligned}$$

Similarly,

$$\begin{aligned} A_{\frac{\alpha(z)}{\bar{z}-\bar{w}}}^{\alpha, \beta} f &= P_\beta \left(\frac{\overline{\alpha(z)}}{\bar{z}-\bar{w}} f(z) \right) = P_\beta \left(\frac{\bar{z} \overline{\alpha(z)} z f(z)}{\bar{z}-\bar{w}} \right) = P_\beta \left(\frac{\overline{C_\alpha f(z)}}{\bar{z}-\bar{w}} \right) \\ &= P_\beta \left(\frac{\overline{C_\alpha f(z)} - \overline{C_\alpha f(w)}}{\bar{z}-\bar{w}} + \bar{z} \frac{\overline{C_\alpha f(w)}}{\bar{z}-\bar{w}} \right) = \overline{C_\alpha f(w)} P_\beta(k_w) \\ &= \overline{C_\alpha f(w)} k_w^\beta = \langle C_\alpha f, k_w^\alpha \rangle k_w^\beta = \langle f, \tilde{k}_w^\alpha \rangle k_w^\beta = k_w^\beta \otimes \tilde{k}_w^\alpha(f). \end{aligned}$$

(b) Let $w \in \mathbb{D}$. Then

$$(3.1) \quad A_{k_w}^{\alpha, \beta} = A_{k_w^\beta}^{\alpha, \beta} \quad \text{and} \quad A_{\bar{k}_w}^{\alpha, \beta} = A_{\bar{k}_w^\alpha}^{\alpha, \beta}.$$

Indeed,

$$A_{k_w^\beta}^{\alpha, \beta} f = P_\beta \left((1 - \overline{\beta(w)\beta}) k_w f \right) = P_\beta(k_w f) = A_{k_w}^{\alpha, \beta} f,$$

for every $f \in K_\alpha$. From this, by Lemma 3.2 in [4],

$$A_{\bar{k}_w^\alpha}^{\alpha, \beta} = \left(A_{k_w^\alpha}^{\beta, \alpha} \right)^* = \left(A_{k_w}^{\beta, \alpha} \right)^* = A_{k_w}^{\alpha, \beta}.$$

Since for $w \neq 0$ and $|z| = 1$,

$$\begin{aligned} \frac{\beta(z)}{z-w} &= \frac{\beta(z) - \beta(w)}{z-w} + \frac{\beta(w)}{z-w} \\ &= \tilde{k}_w^\beta(z) + \frac{\beta(w)}{w} \cdot \frac{w\bar{z}}{1-w\bar{z}} = \tilde{k}_w^\beta(z) + \frac{\beta(w)}{w} (\bar{k}_w(z) - 1), \end{aligned}$$

we have, by part (a) and (3.1),

$$\tilde{k}_w^\beta \otimes k_w^\alpha = A_{\frac{\beta(z)}{z-w}}^{\alpha, \beta} = A_{\tilde{k}_w^\beta + \frac{\beta(w)}{w} (\bar{k}_w - 1)}^{\alpha, \beta} = A_{\tilde{k}_w^\beta + \frac{\beta(w)}{w}}^{\alpha, \beta} (\bar{k}_w^\alpha - k_0^\beta).$$

If α and β have an ADC at $\eta \in \partial\mathbb{D}$, then k_w^α and k_w^β converge in norm to k_η^α and k_η^β , respectively, as w tends to η nontangentially. Hence $\tilde{k}_w^\beta \otimes k_w^\alpha$ tends to $\tilde{k}_\eta^\beta \otimes k_\eta^\alpha$ in the operator norm. On the other hand,

$$\tilde{k}_w^\beta + \frac{\beta(w)}{w} (\bar{k}_w^\alpha - k_0^\beta) \longrightarrow \tilde{k}_\eta^\beta + \frac{\beta(\eta)}{\eta} (\bar{k}_\eta^\alpha - k_0^\beta) \quad \text{in } L^2(\partial\mathbb{D}),$$

which implies that

$$A_{\tilde{k}_w^\beta + \frac{\beta(w)}{w} (\bar{k}_w^\alpha - k_0^\beta)}^{\alpha, \beta} f \longrightarrow A_{\tilde{k}_\eta^\beta + \frac{\beta(\eta)}{\eta} (\bar{k}_\eta^\alpha - k_0^\beta)}^{\alpha, \beta} f \quad \text{in } H^2,$$

for every $f \in K_\alpha^\infty$. Therefore,

$$\tilde{k}_\eta^\beta \otimes k_\eta^\alpha = A_{\tilde{k}_\eta^\beta + \frac{\beta(\eta)}{\eta} (\bar{k}_\eta^\alpha - k_0^\beta)}^{\alpha, \beta}.$$

Since

$$\tilde{k}_\eta^\beta(z) = \frac{\beta(z) - \beta(\eta)}{z - \eta} = \frac{\beta(\eta)}{\eta} k_\eta^\beta(z),$$

we get

$$\begin{aligned} k_\eta^\beta \otimes k_\eta^\alpha &= \frac{\eta}{\beta(\eta)} \tilde{k}_\eta^\beta \otimes k_\eta^\alpha = \frac{\eta}{\beta(\eta)} A_{\tilde{k}_\eta^\beta + \frac{\beta(\eta)}{\eta} (\bar{k}_\eta^\alpha - k_0^\beta)}^{\alpha, \beta} \\ &= A_{k_\eta^\beta + \bar{k}_\eta^\alpha - k_0^\beta}^{\alpha, \beta} = A_{k_\eta^\beta + \bar{k}_\eta^\alpha - 1}^{\alpha, \beta}. \end{aligned}$$

□

It was proved in [13, Thm. 5.1] that the only rank-one operators in $\mathcal{T}(\alpha)$ are the nonzero scalar multiples of the operators $\tilde{k}_w^\alpha \otimes k_w^\alpha$, $k_w^\alpha \otimes \tilde{k}_w^\alpha$ and $k_\eta^\alpha \otimes k_\eta^\alpha$. It is still an open question whether the scalar multiples of the operators from Proposition 3.1 are the only rank-one operators in $\mathcal{T}(\alpha, \beta)$ for arbitrary inner functions α and β .

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